



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20531
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| APPLICATION NUMBER | FILING RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/993,384 | 11/16/2001 | Seth Stern | 01-053120US |

22798
LAW OFFICES OF JONATHAN ALAN QUINE
P O BOX 458
ALAMEDA, CA 94501

COPY OF PAPERS
ORIGINALLY FILED

CONFIRMATION NO. 4931

FORMALITIES LETTER



OC000000007164191

Date Mailed: 12/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2098.
 - \$1818 for 101 total claims over 20.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2968.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/25/2002 RHARTS1 00000033 500893 09993384
01 FC:101 740.00 CH
02 FC:103 1746.00 CH
03 FC:105 130.00 CH
04 FC:104 280.00 CH
01/14/2002 59ALEEVU 00000019 500893 10703714
01 FC:102 78.00 CH

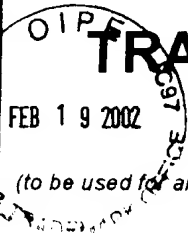
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Please type a plus sign (+) inside this box →

+

Approved through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/993,384

Filing Date

November 16, 2001

First Named Inventor

Seth Stern

Group Art Unit

1743

Examiner Name

Unassigned

Attorney Docket Number

01-053120US

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☒ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

Signed Declaration, Copy of signed Assignment, Statement Under 37 CFR 3.73(b) and receipt acknowledgment postcard and copy of Notice to file Missing Parts

Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Christopher C. Sappenfield, Reg. No. 45,073, Quine Intellectual Property Law Group P.C.

Signature

Christopher C. Sappenfield

Date

1-31-02

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 1-31-2002

Typed or printed name

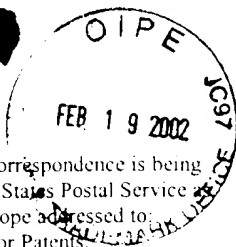
Evelyn Gomez

Signature

Evelyn Gomez

Date

1-31-2002



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231, on 1-31-2002

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QUINE INTELLECTUAL PROPERTY LAW GROUP P.C.

By

Evelyn Gomez
Evelyn Gomez

Attorney Docket No. 01-053120US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Seth Stern et al.

Examiner: Unassigned

Application No.: 09/993,384
Filed: November 16, 2001

Art Unit: 1743

For: **METHODS AND DEVICES FOR
DISPERSION-CONTROLLED
TRANSPORT OR PROCESSING OF
POLAR OR POLARIZABLE
MATERIALS**

TRANSMITTAL LETTER - RESPONSE
TO NOTICE OF MISSING PARTS

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date Granted" dated December 6, 2001, enclosed are the following to be made of record in the above-identified application:

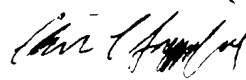
- 1) Executed Declaration
- 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b) (+ copy of Assignment - not for recordation-note: The Assignment for recordation is being sent concurrently under separate cover to Box Assignment, pursuant to the MPEP @ Page 300-M and Form PTO-1619A)
- 3) Copy of Notice of Missing Parts
- 4) Transmittal Sheet
- 5) Receipt indication postcard

Please charge Deposit Account No. 50-0893 for the following fees:

| | | |
|---------------------------------|---------------------------------------|------------------|
| Large entity: (a) | Filing Fee (§ 1.16(a)) (Large Entity) | \$ 740.00 |
| (b) | Excess Claims Fees (§ 1.16(b), (c)): | |
| | $117 - 20 = 97 \times 18 =$ | \$ 1746.00 |
| | $3 - 3 = ___ \times 84 =$ | \$ 0.00 |
| (c) | Missing Parts Surcharge | \$ 130.00 |
| (d) | Multiple Claim Surcharge | \$ 280.00 |
| TOTAL FEES TO BE CHARGED | | \$2896.00 |

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 50-0893. *This Transmittal Letter is submitted in duplicate.(if not submitting fee transmittal)*

Respectfully submitted,



Christopher C. Sappenfield
Reg. No. 45,073

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